

Lecture 16: Class of Randomized Algorithms and Derandomization

Lecturer: Yuan Zhou

Scribe: Zhenhua Chen

1 Randomized Algorithms

Before introducing derandomization, let us focus on first the concept of deterministic algorithm: given X input bits and an Algorithm \mathcal{A} , the output is either 0 or 1 (assume the output is binary). Hopefully, \mathcal{A} can be done in polynomial time, namely, $t \leq n^k$. For the randomized algorithm case, the algorithm is still deterministic, but the input contains some additional random bits. The two concepts are shown in Figure 1 and Figure 2 separately.



Figure 1: deterministic algorithm



Figure 2: randomized algorithm

For example, Miller-Rabin Primality testing is a randomized algorithm. Before we go further, let's introduce BPP.

Definition 1. BPP is the class of problems that are solvable in polynomial time with a randomized algorithm, namely, $\forall x, \Pr_r[\mathcal{A}(x, r) \text{ is correct}] \geq \frac{3}{4}$.

Remark 1. ' $\frac{3}{4}$ ' can be any $c \in (\frac{1}{2}, 1)$. To make it $1 - \epsilon$, we run $\mathcal{A}(x, r)$ $O(\log(\frac{1}{\epsilon}))$ times independently, and take the majority vote.

Remark 2. If \mathcal{A} only takes $O(\log n)$ random bits, it's trivial to make \mathcal{A} deterministic, simply try all $2^{O(\log n)} = \text{poly}(n)$ possible random bits, and take the majority vote.

2 Derandomization

The ultimate goal of derandomization is to address the question whether $\text{BPP} = \text{P}$, i.e. how to make \mathcal{A} deterministic even if it use $\omega(\log n)$ random bits? However, we are also interested in reducing the number of random bits used by a randomized algorithm.

2.1 Pseudo-random Generator (PRG)

Definition 2. Let \mathcal{C} be a class of functions. $f : \{0, 1\}^n \rightarrow \{0, 1\}$, $G : \{0, 1\}^l \rightarrow \{0, 1\}^n (l < n)$ is an ϵ -PRG for \mathcal{C} with seed length l . If

$$\forall f \in \mathcal{C} \quad \left| \Pr_{r \sim \{0,1\}^n} [f(r) = 1] - \Pr_{s \sim \{0,1\}^l} [f(G(s)) = 1] \right| < \epsilon$$

Then, we say G ϵ -fools \mathcal{C} . Ideally, $G(s)$ should be deterministically computable in polynomial time.

Intuition: Functions in \mathcal{C} can not distinguish between distribution $\{G(s)\}_{s \sim \{0,1\}^l}$ and uniform distribution $\{0, 1\}^n$. However, $\{G(s)\}$ has a much smaller support.

Example 1. Say \mathcal{A} runs in n^{10} times, takes n random bits. Let \mathcal{C} be $\{ f : \{0, 1\}^n \rightarrow \{0, 1\} \}$, f is computable in n^{10} time. If G is 0.1-fools \mathcal{C} , then

$$\Pr_{s \sim \{0,1\}^l} [\mathcal{A}(G(s)) \text{ correct}] \geq \Pr_{r \in \{0,1\}^n} [\mathcal{A}(r) \text{ correct}] - 0.1 \geq \frac{3}{4} - 0.1 = 0.65$$

\mathcal{A} is a deterministic algorithm. To enumerate s and take the majority vote runs in $2^l \text{poly}(n)$ time. If $l = O(\log n)$, \mathcal{A} can be solved in P .

Theorem 3. [Impagliazzo-Widgerson'97][1] Suppose $\forall m \exists h_m : \{0, 1\}^n \rightarrow \{0, 1\}$ computable via a circuit of size 2^{100m} , but not via any circuit of size $2^{0.001m}$, then \exists a PRG ϵ -fools all poly-time algorithms with seed length $O(\log m)$, i.e. $\text{BPP} = \text{P}$ (The assumption is stronger than $\text{P} \neq \text{NP}$, but believable).

Intuition. A function is hard to compute \Rightarrow looks random to Turing Machines with less time resource \Rightarrow fools the TMs.

2.2 k -wise Independent PRGs

Definition 4. $G : \{0, 1\}^l \rightarrow \{0, 1\}^n$ is k -wise independent, if $\forall i \in [n] \Pr_s[(G(s))_i = 1] = \frac{1}{2}$, and $\forall 1 \leq i_1 < i_2 < \dots < i_k \leq n$, the distribution $\{(G(s))_{i_1}, (G(s))_{i_2}, \dots, (G(s))_{i_k}\}_s$ is uniform on $\{0, 1\}^k$.

Construction of pairwise independent PRGs $G : \{0, 1\}^l \rightarrow \{0, 1\}^{2^l - 1}$ is defined as $[G(s)]_v = \langle s, v \rangle \bmod 2 \forall v \in \{0, 1\}^l, v \neq \vec{0}$.

Proof. $\forall v \neq \vec{0} : \Pr_s[\langle s, v \rangle \bmod 2 = 1] = \frac{1}{2}$, and $\forall v_1 \neq v_2 : \Pr_s[\langle s, v_1 \rangle \bmod 2 \neq \langle s, v_2 \rangle \bmod 2] = \Pr_s[\langle s, v_1 + v_2 \rangle \bmod 2 \neq 0] = \frac{1}{2}$. \square

Theorem 5. [Alon-Babai-Itai'85][2] $\forall k \leq n, \exists$ poly(n)-time computable k -wise independent generator with $l = \lfloor \frac{k}{2} \rfloor \log n + O(1)$.

Let's derandomize the following algorithm for MaxCut.

MaxCut: Given $G = (V, E)$, find $S \subseteq V$ to maximize $|\text{edges}(S, V - S)|$

Algorithm: For each $i \in V$, toss $r_i \in \{0, 1\}$, $i \in S$ iff. $r_i = 1$.

Analysis: $\mathbb{E}|\text{edges}(S, \bar{S})| = \mathbb{E} \sum_{(i,j) \in E} \mathbb{1}[r_i \neq r_j] = \sum_{(i,j) \in E} \Pr_r[r_i \neq r_j] = \sum_{(i,j) \in E} \frac{1}{2} = \frac{|E|}{2}$.

Observation: $r \in \{0, 1\}^n$ be pairwise independent suffice for the analysis. Use $r \in G(s)$ where $s \in \{0, 1\}^{\log n}$ and G is a pairwise independent PRG. Enumerating s can be finished in polynomial time.

2.3 ϵ -Bias Generators

Definition 6. $G : \mathbb{F}_2^l \rightarrow \mathbb{F}_2^n$ is ϵ -biased PRG if $\forall w \in \mathbb{F}_2^n, w \neq 0, \Pr_{s \sim \mathbb{F}_2^l}[w \cdot G(s) = 1] \in [\frac{1}{2} - \frac{\epsilon}{2}, \frac{1}{2} + \frac{\epsilon}{2}]$.

Theorem 7. [NN'93][3] $l = O(\log \frac{n}{\epsilon})$ is achievable with poly-time computable.

Theorem 8. [AGHP'92][4] $l = 2 \log \frac{n}{2} + O(1), O(\frac{n^2}{\epsilon^2})$ -time computable.

Application. Input: $A, B, C \in \mathbb{F}_2^{n \times n}$. Goal: check $AB=C$ in $O(n^2) \cdot [\text{input size}]$ time.

Algorithm: choose $y \sim \mathbb{F}_2^n$ uniformly, check if

$$(AB)y = Cy$$

Note that the calculation of By needs $O(n^2)$. Similarly, calculation of Cy and $A(By)$ need $O(n^2)$, too.

Analysis: $AB=C \Rightarrow \Pr[(AB)y = Cy] = 1$. On the contrary, $AB \neq C \Rightarrow D = AB - C$ has more than one non-zero rows. Let D_i be i th row, $D_i \neq 0$, then

$$\Pr[(AB)y \neq Cy] = \Pr[Dy \neq \vec{0}] \geq \Pr_{y}[D_i^T \neq 0] = \frac{1}{2}$$

Uses $O(n^2)$ time, n is the length of random bits. If y is the output of a 0.1-biased PRG, then

$$\Pr[D_i^T = 1] \geq \frac{1}{2} - \frac{0.1}{2} = 0.45 \Rightarrow O(n^2), O(\log n) \text{ random bits. ([AGHP'92])}$$

Construction of ϵ -biased generator By definition, let $\text{Enc}:\mathbb{F}_2^n \rightarrow \mathbb{F}_2^{2^l}$ be $\forall w \in \mathbb{F}_2^n, s \in \mathbb{F}_2^l$, we have,

$$\text{Enc}(w)_s = G(s) \cdot w$$

Enc is the encoder of a linear code, with generator matrix like:

$$\begin{bmatrix} G(s_1)^T \\ G(s_2)^T \\ \dots \\ G(s_n)^T \end{bmatrix}$$

By $\Pr_{s \sim \mathbb{F}_2^l} [G(s) \cdot w = 1] \in [\frac{1}{2} - \frac{\epsilon}{2}, \frac{1}{2} + \frac{\epsilon}{2}]$, $\forall w \neq 0$, all non-zero codewords has a relative weight $[\frac{1}{2} - \frac{\epsilon}{2}, \frac{1}{2} + \frac{\epsilon}{2}] \Rightarrow$ relative distance $\geq \frac{1}{2} - \frac{\epsilon}{2}$. The rate is $\frac{n}{2^l}$.

2.4 Concatenation of Reed-Solomon and Hadamard codes

Let C_1 be Reed-Solomon code with parameter $[q, \epsilon, (1 - \epsilon)q]_q$, C_2 be Hadamard code with parameter $[q, \log_2 q, \frac{1}{2}q]_2$, and C be the concatenation of C_1 and C_2 , as shown in Figure 3.

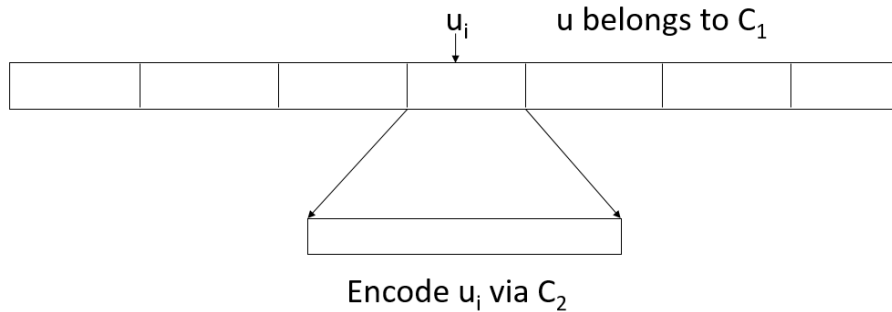


Figure 3: Concatenation of C_1 and C_2

Claim 1. C is a linear code for $q = 2^k$ ($k \in \mathbb{N}$) and appropriate encoder of C_2 .

Claim 2. C has a block-length of q^2 , and the dimension of C is $\epsilon q \log_2 q$.

Claim 3. \forall non-zero codeword $c \in C$, c has Hamming weight $[(1 - \epsilon)q \cdot \frac{1}{2}q, \frac{1}{2}q^2]$.

Proof. \forall non-zero $u \in C_1$, $wt(u) \in [(1 - \frac{\epsilon}{2})q, q]$. For each non-zero u_i encoded in C_2 has a weight of exactly $\frac{1}{2}q$. Therefore the weight of c is $wt(u) \cdot \frac{1}{2}q \in [(1 - \epsilon)q \cdot \frac{1}{2}q, \frac{1}{2}q^2]$. \square

Finally, the ϵ -generator has parameters:

$$\begin{aligned} 2^l &= q^2, \quad n = \epsilon q \log_2 q \\ \Rightarrow l &= 2 \log_2 q, \quad n = \frac{\epsilon}{2} \cdot l \cdot 2^{\frac{l}{2}} < \frac{\epsilon}{2} \cdot 2^{\frac{l}{2}} \\ &\Rightarrow l \leq 2 \log \frac{n}{\epsilon}. \end{aligned}$$

References

- [1] R. Impagliazzo, A. Wigderson. *P=BPP unless E has Subexponential Circuits: Derandomizing the XOR Lemma*. Proceedings of the 29th Symposium on Theory of Computing (STOC), pp. 220-229, 1997.
- [2] Noga Alon, László Babai, Alon Itai. *A fast and simple randomized parallel algorithm for the maximal independent set problem*. Journal of Algorithms. Volume 7 Issue 4, Dec. 1986. Pages 567 - 583. Academic Press, Inc. Duluth, MN, USA.
- [3] Joseph Naor and Moni Naor. *Small-bias probability spaces: efficient constructions and applications*. SIAM J. on Computing, 22(4):838–856, 1993.
- [4] Noga Alon, Oded Goldreich, Johan Håstad, René Peralta. *Simple Constructions of Almost k -wise Independent Random Variables*. Random Structures & Algorithms. Volume 3, Issue 3 1992. Pages 289–304.